



Sector #3

PATENT Attorney Docket No. 212515

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

HUITEMA ET AL.

Art Unit: 2131

Application No. 09/955,924

Examiner:

Filed: September 19, 2001

For: PEER-TO-PEER NAME RESOLUTION PROTOCOL (PNRP) GROUP SECURITY INFRASTRUCTURE AND METHOD

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents Washington, D.C. 20231

Dear Sir

Applicants hereby respond to the Notice to File Missing Parts of Application dated October 25, 2001.

The items checked below are appropriate:

1. Status of Applicant

This application is on behalf of [X] other than a small entity or [ ] a small entity.

2. Documents Submitted Herewith

- a. [X] Executed Declaration of inventor(s)
b. [ ] Nucleotide and/or Amino Acid Sequence Submission:
i. [ ] Computer Readable Form (CRF)
ii. Specification Sequence Listing on:
(1) [ ] CD-ROM or CD-R (2 copies); or
(2) [ ] Paper Copy
iii. [ ] Statement verifying identity of above copies
c. [ ] Verified English translation of application
d. [X] Copy of the Notice to File Missing Parts of Application.
e. [ ] Substitute Drawings
f. [ ] Other:

CERTIFICATE OF MAILING

I hereby certify that this document (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date: December 10, 2001

Handwritten signature: Maya Smith

3. Fees

**Application Filing Fee**

APPLICATION FEES				
BASIC FEE				\$ 740.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	25 -20=	5	x \$18.00	\$ 90.00
Independent Claims	4 - 3=	1	x \$84.00	\$ 84.00
<input type="checkbox"/> Multiple Dependent Claims(s) if applicable				+\$280.00
Total of above calculations =				\$ 914.00
Reduction by 50% for filing by small entity =				\$(0.00)
<b>Fee Due =</b>				<b>\$914.00</b>

**Late Filing of Oath or Declaration**

Pursuant to 37 CFR 1.16(e), the surcharge for filing this Response is for  other than a small entity or  a small entity.

**Fee Due** \$130.00

**Submitting a Translation of the Specification**

Pursuant to 37 CFR 1.17(i), the surcharge for submitting an English translation of the non-English specification is included.

**Fee Due** \$ 0.00

**Extension of Time**

Applicants petition for a one-month extension of time under 37 CFR 1.136, the fee for which is \$110.00.

Applicants believe that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicants have inadvertently overlooked the need for a petition and fee for extension of time.

**Fee Due** \$0.00

4. **Total Fee Due**

The total fee due is:

Application Filing Fee	\$914.00
Late Filing of Declaration Surcharge	\$130.00
Non-English Specification Surcharge	\$ 0.00
Extension of Time Fee	\$ 0.00
<b>Total Fee Due:</b>	<b>\$1,044.00</b>

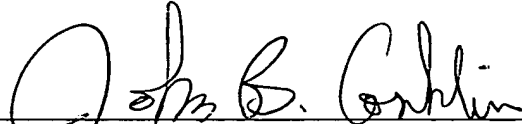
In re Appln. of HUITEMA ET AL.  
Application No. 09/955,924

**5. Fee Payment**

- Attached is a check in the sum of \$1,044.00.  
 Charge Account No. 12-1216 the sum of \$ . A duplicate of this transmittal is attached.

**6. Fee Deficiency**

- If any additional fee is required in connection with this communication, charge Account No. 12-1216. A duplicate of this transmittal is attached.



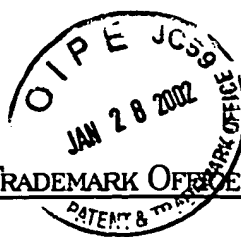
John B. Conklin, Reg. No. 30,369  
One of the Attorneys for Applicant(s)  
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Date: December 10, 2001

Missing Parts Response (Revised 10/18/01)



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/955,924	09/19/2001	Christian Huitema	212515

CONFIRMATION NO. 9394

23460  
LEYDIG VOIT & MAYER, LTD  
TWO PRUDENTIAL PLAZA, SUITE 4900  
180 NORTH STETSON AVENUE  
CHICAGO, IL 60601-6780

FORMALITIES LETTER



\*OC000000006972494\*

Date Mailed: 10/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$170.
  - \$90 for 5 total claims over 20.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1010.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/19/2002 YPCLITE1 00000033 09955924  
740.00 OP  
84.00 OP  
90.00 OP  
130.00 OP